

The University of New Mexico
Board of Regents' Audit and Compliance Committee Meeting
August 3, 2023 – 1:00 PM
Roberts' Room
Agenda

ACTION ITEMS

1. Confirmation of a Quorum and Adoption of Agenda
2. Approval of Meeting Minutes from May 4, 2023
3. Audit and Compliance Committee Meeting remaining date for Calendar Year 2023. The following proposed meeting date is presented for Committee approval.

(NOTE: Upcoming meeting times will now be 1:00 PM)
October 19, 2023 (Exit Conference for FY23 Financial Statements Audit)

INFORMATION ITEMS

4. Advisors' Comments
5. Director of Internal Audit Status Report (*Victor Griego, Internal Audit Director*)
6. Main Campus Chief Compliance Officer Status Report (*Francie Cordova, Chief Compliance Officer*)
7. Status of Audit Recommendations (*Chien-Chih Yeh, Internal Audit Manager*)
Implemented
Pending

EXECUTIVE SESSION

8. Vote to close the meeting and to proceed in Executive Session as follows:
 - a. Discussion of draft Internal Audit reports and information subject to attorney-client privilege; risk assessments and audit work plans; and information security and privacy report, pursuant to RPM 1.2;
 - b. Discussion of limited personnel matters pursuant to exception at Section 10-15-1.H(2) NMSA (1978);
 - c. Vote to re-open the meeting.
9. Certification that only those matters described in Agenda item #8 were discussed in Executive Session and ratification of action, if any, taken in Executive Session.
10. Adjournment