

The University of New Mexico  
Board of Regents' Audit and Compliance Committee  
*February 11, 2021*  
*Virtual Meeting*  
**Agenda**

**ACTION ITEMS**

1. Confirmation of a Quorum and Adoption of Agenda
2. Approval of Meeting Minutes from October 16, 2020
3. Audit and Compliance Committee remaining proposed meeting dates for Fiscal Year 2021. The Committee will meet virtually until further notice.

May 6, 2021 (Entrance Conference for FY21 Financial Statements Audit)

4. Approval of FY21 External Audit Contract (*Moss Adams, KPMG and Liz Metzger, University Controller*)

**INFORMATION ITEMS**

5. Advisors' Comments
6. Director of Internal Audit Status Report (*Victor Griego, Interim Internal Audit Director*)
7. Main Campus Chief Compliance Officer Status Report and OEO Data (*Francie Cordova, Interim Chief Compliance Officer and Rob Burford, Director of Compliance [Retired]*)
8. Health Sciences Center Chief Compliance Officer Status Report (*Arthur Culpepper, HSC Chief Compliance Officer*)
9. Status of Audit Recommendations (*Chien-Chih Yeh, Internal Audit Manager*)

**EXECUTIVE SESSION**

10. Vote to close the meeting and to proceed in Executive Session as follows:
  - a. Presentation of FY20 External Financial Audit pursuant to exceptions at Section 10-15-1H NMSA (1978) and Section 12-6-5 NMSA (1978) (*Moss Adams, KPMG and Liz Metzger, University Controller*)
  - b. Discussion of draft Internal Audit Reports, and discussions of information subject to attorney-client privilege pursuant RPM 1.2
  - c. Discussion of limited personnel matters (Interim Director of Internal Audit Department) pursuant to exception at Section 10-15-1.H(2) NMSA (1978);
  - d. Vote to re-open the meeting.
11. Certification that only those matters described in Agenda item #10 were discussed in Executive Session and if necessary ratification of action, if any, taken in Executive Session.
12. Adjournment