

The University of New Mexico
Board of Regents' Audit and Compliance Committee
March 15, 2018 – 9:00 AM
Roberts Room
Agenda

ACTION ITEMS

1. Confirmation of a Quorum and Adoption of Agenda
2. Approval of Meeting Minutes from October 18, 2017
3. Approval of the Contract for UNM FY18 Annual Financial Statements Audit (*Elizabeth Metzger, University Controller*)
4. Audit and Compliance Committee Meeting dates for calendar year 2018
March 28, 2018 (Proposed Special meeting)
May 17, 2018 - Start at 9:00 AM (Entrance Conference for FY18 External Audit)
August 9, 2018
October 18, 2018 (Exit Conference for FY18 External Audit)

INFORMATION ITEMS

5. Main Campus Chief Compliance Officer Status Report (*Libby Washburn, UNM Main Campus Chief Compliance Officer*)
6. Advisors' Comments
7. Status of Audit Recommendations (*Chien-chih Yeh, Internal Audit Manager*)
Implemented
Pending
8. Director of Internal Audit Status Report (*Manu Patel, Internal Audit Director*)

EXECUTIVE SESSION

9. Vote to close the meeting and to proceed in Executive Session as follows:
 - a. Discussion of draft Internal Audit Reports, and discussion of information subject to attorney-client privilege pursuant to RPM 1.2;
 - b. Discussion of limited personnel matters pursuant to exception at Section 10-15-1.H(2) NMSA (1978);
 - c. Schedule of Audits in Process and Status of FY18 Audit Work plan, pursuant to RPM 1.2;
 - d. Vote to re-open the meeting.
10. Certification that only those matters described in Agenda item # 9 were discussed in Executive Session and if necessary ratification of action, if any, taken in Executive Session
11. Adjournment