

The University of New Mexico  
Board of Regents' Audit and Compliance Committee  
*May 4, 2023*  
*Scholes Hall, Roberts' Room*  
**Agenda**

**ACTION ITEMS**

1. Confirmation of a Quorum and Adoption of Agenda
2. Approval of Special Meeting Minutes from March 31, 2023
3. Audit and Compliance Committee remaining proposed meeting dates for calendar year 2023.  
*(NOTE: Upcoming meeting times starting in August will now be 1:00 PM)*  
August 3, 2023  
October 19, 2023 (Exit Conference for FY23 Financial Statements Audit)

**INFORMATION ITEMS**

4. Advisors' Comments
5. Fiscal Year 2023 Financial Statements External Audit, Entrance Conference *(KPMG, Moss Adams, Carr, Riggs & Ingram LLC, and Norma Allen, University Controller)*
6. Director of Internal Audit Status Report *(Victor Griego, Internal Audit Director)*
7. Office of Compliance, Ethics, And Equal Opportunity Report *(Francie Cordova, Chief Compliance Officer)*
8. Status of Audit Recommendations *(Chien-Chih Yeh, Internal Audit Manager)*

**EXECUTIVE SESSION**

9. Vote to close the meeting and to proceed in Executive Session as follows:
  - a. Discussion of draft Internal Audit Reports pursuant to RPM 1.2.
  - b. Discussion of limited personnel matters pursuant to exception at Section 10-15-1(H)(2) NMSA (1978) and pursuant to RPM 7.2;
  - c. Vote to re-open the meeting.
10. Certification that only those matters described in Agenda item #9 were discussed in Executive Session and if necessary, ratification of action, if any, taken in Executive Session.
11. Adjournment