

The University of New Mexico
Board of Regents' Audit and Compliance Committee
May 6, 2021 – 9:00 AM
Virtual Meeting
Agenda

ACTION ITEMS

1. Confirmation of a Quorum and Adoption of Agenda
2. Approval of Meeting Minutes from February 11, 2021
3. Audit and Compliance Committee Meeting dates for Calendar Year 2021. The following proposed meeting dates are being presented for Committee approval. The Committee meets in the Roberts Room. This schedule accommodates exit conference for the FY21 External Financial Statements Audit.

August 5, 2021

October 14, 2021 (Exit Conference for FY21 External Audit)

INFORMATION ITEMS

4. Advisors' Comments
5. Fiscal Year 2021 Financial Statements External Audit, Entrance Conference (*Moss Adams, KPMG, and Elizabeth Metzger, University Controller*)
6. Director of Internal Audit Status Report (*Victor Griego, Interim Internal Audit Director*)
7. Main Campus Chief Compliance Officer Status Report (*Francie Cordova, Chief Compliance Officer; and Rob Burford, Director of Compliance [retired]*)
8. Health Sciences Center Chief Compliance Officer Status Report (*Arthur Culpepper, HSC Chief Compliance Officer*)
9. Status of Audit Recommendations (*Chien-Chih Yeh, Internal Audit Manager*)
 - Implemented
 - Pending

EXECUTIVE SESSION

10. Vote to close the meeting and to proceed in Executive Session as follows:
 - a. Discussion of draft Internal Audit Reports, and discussions of information subject to attorney-client privilege pursuant RPM 1.2;
 - b. Discussion of limited personnel matters pursuant to exception at Section 10-15-1.H(2) NMSA (1978);
 - c. Vote to re-open the meeting.
11. Certification that only those matters described in Agenda item #10 were discussed in Executive Session and if necessary ratification of action, if any, taken in Executive Session.
12. Adjournment