

The University of New Mexico
Board of Regents' Audit and Compliance Committee
October 14, 2021 – 9:00 AM
Virtual Meeting
Agenda

ACTION ITEMS

1. Confirmation of a Quorum and Adoption of Agenda
2. Approval of Meeting Minutes from August 5, 2021
3. Audit and Compliance Committee proposed meeting dates for Fiscal Year 2022

February 10, 2022

May 5, 2022 (Entrance Conference for FY22 Financial Statements Audit)

INFORMATION ITEMS

4. Advisors' Comments
5. Director of Internal Audit Status Report (*Victor Griego, Internal Audit Director*)
6. Main Campus Chief Compliance Officer Status Report (*Francie Cordova, Chief Compliance Officer, Matthew Suazo, Compliance Coordinator, Angela Catena, Title IX Coordinator*)
7. Health Sciences Center Chief Compliance Officer Status Report (*Arthur Culpepper, HSC Chief Compliance Officer*)
8. Status of Audit Recommendations (*Chien-Chih Yeh, Internal Audit Manager*)
 Implemented
 Pending

EXECUTIVE SESSION

9. Vote to close the meeting and to proceed in Executive Session as follows:
 - a. Discussion of draft Internal Audit Reports, and discussions of information subject to attorney-client privilege pursuant RPM 1.2
 - b. Schedule of Audits in Process and Proposed FY22 Audit Work plan, pursuant to RPM 1.2
 - c. Vote to re-open the meeting.
10. Certification that only those matters described in Agenda item #9 were discussed in Executive Session and if necessary ratification of action, if any, taken in Executive Session.
11. Adjournment