

The University of New Mexico  
Board of Regents' Audit and Compliance Committee  
October 21, 2016 – 2:00 PM  
Roberts Room  
**Agenda**

**ACTION ITEMS**

1. Confirmation of a Quorum and Adoption of Agenda
2. Approval of Meeting Minutes from September 2, 2016

**EXECUTIVE SESSION**

3. Vote to close the meeting and to proceed in Executive Session as follows:
  - a. Presentation of FY16 External Financial Audit pursuant to exceptions at Section 10-15-1H NMSA (1978) and Section 12-6-5 NMSA (1978) (*KPMG, Moss Adams, and Liz Metzger, University Controller*)
  - b. Discussion of draft Internal Audit Reports, and discussions of information subject to attorney-client privilege pursuant RPM 1.2
  - c. Discussion of limited personnel matters pursuant to exception at Section 10-15-1.H(2) NMSA (1978);
  - d. Schedule of Audits in Process and Proposed FY17 Audit Work plan, pursuant to RPM 1.2
  - e. Vote to re-open the meeting.
4. Certification that only those matters described in Agenda item # 3 were discussed in Executive Session and if necessary ratification of action, if any, taken in Executive Session

**INFORMATION ITEMS**

5. Main Campus Chief Compliance Officer Status Report (*Libby Washburn, UNM Main Campus Chief Compliance Officer*)
6. Advisors' Comments
7. Follow-Up Items from September 5, 2016 Meetings
8. Status of Audit Recommendations (*Chien-chih Yeh, Internal Audit Manager*)  
Implemented  
Pending
9. Director of Internal Audit Status Report (*Manu Patel, Internal Audit Director*)
10. Adjournment