

The University of New Mexico  
Board of Regents' Audit and Compliance Committee  
October 21, 2022 – 9:00 AM  
Virtual Meeting  
**Agenda**

**ACTION ITEMS**

1. Confirmation of a Quorum and Adoption of Agenda
2. Approval of Meeting Minutes from August 11, 2022
3. Audit and Compliance Committee proposed meeting dates for Calendar Year 2023

February 9, 2023

May 4, 2023 (Entrance Conference for FY23 Financial Statements Audit)

August 10, 2023

October 19, 2023 (Exit Conference for FY23 Financial Statements Audit)

**INFORMATION ITEMS**

4. Advisors' Comments
5. Director of Internal Audit Status Report (*Victor Griego, Internal Audit Director*)
6. Main Campus Chief Compliance Officer Status Report (*Francie Cordova, Chief Compliance Officer, Adrienne Helms, Clery Coordinator*)
7. Status of Audit Recommendations (*Chien-Chih Yeh, Internal Audit Manager*)  
Implemented  
Pending

**EXECUTIVE SESSION**

8. Vote to close the meeting and to proceed in Executive Session as follows:
  - a. Presentation of FY22 External Financial Audit pursuant to exceptions at Section 10-15-1H NMSA (1978) and Section 12-6-5 NMSA (1978) (*Moss Adams, KPMG, and Norma Allen, University Controller*).
  - b. Discussion of draft Internal Audit Reports, and discussions of information subject to attorney-client privilege pursuant RPM 1.2.
  - c. Schedule of Audits in Process and Proposed FY23 Audit Work plan, pursuant to RPM 1.2.
  - d. Vote to re-open the meeting.
9. Certification that only those matters described in Agenda item #8 were discussed in Executive Session and if necessary ratification of action, if any, taken in Executive Session.
10. Adjournment