

The University of New Mexico
Board of Regents' Audit and Compliance Committee
October 26, 2023 – 8:30 AM
Roberts Room
Agenda

ACTION ITEMS

1. Confirmation of a Quorum and Adoption of Agenda
2. Approval of Meeting Minutes from August 3, 2023
3. Audit and Compliance Committee proposed meeting dates for Calendar Year 2024

February 8, 2024

May 2, 2024 (Entrance Conference for FY24 Financial Statements Audit)

August 8, 2024

October 17, 2024 (Exit Conference for FY24 Financial Statements Audit)

INFORMATION ITEMS

4. Advisors' Comments
5. Director of Internal Audit Status Report (*Victor Griego, Internal Audit Director*)
6. Main Campus Chief Compliance Officer Status Report (*Francie Cordova, Chief Compliance Officer, Angela Catena, Title IX Coordinator, and Adrienne Helms, Clery Coordinator*)
7. UNM Health and Health Sciences Compliance Officer Report (*Angela Vigil, Compliance Officer, UNM Health and Health Sciences*)
8. Status of Audit Recommendations (*Chien-Chih Yeh, Internal Audit Manager*)
 - Implemented
 - Pending

EXECUTIVE SESSION

9. Vote to close the meeting and to proceed in Executive Session as follows:
 - a. Presentation of FY23 External Financial Audit pursuant to exceptions RPM 1.2. (*KPMG, Moss Adams, and Norma Allen, University Controller*).
 - b. Discussion of draft Internal Audit reports, audit work plans and information subject to attorney-client privilege pursuant to RPM 1.2 and NMSA 1978, § 10-15-1(H)(7) (2013);
 - c. Discussion of limited personnel matters as defined in and permitted by NMSA 1978, § 10-15-1(H)(2) (2013), and NMSA 1978, § 10-15-1(H)(7) (2013).
 - d. Discussion of internal IT audit findings regarding information security and privacy, pursuant to RPM 1.2;
 - e. Vote to re-open the meeting.
10. Certification that only those matters described in Agenda item #9 were discussed in Executive Session and if necessary, ratification of action, if any, taken in Executive Session.
11. Adjournment